

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of,

RANDY L. MAYER

Respondent.

FINAL ORDER

Case No. S-242139 (EX)

WHEREAS, on November 1, 2021, at 10:00 a.m. the Hearing Officer convened a pretrial conference pursuant to the Notice of Hearing dated October 11, 2021;

WHEREAS, Attorney Lindsay M. Fedler and Examiner Chad MacHolz appeared telephonically and via Microsoft Teams representing the Division;

WHEREAS, no appearance was made by Respondent Randy L. Mayer, who had previously filed a petition for hearing on September 30, 2021;

THEREFORE, in accordance with Wis. Stats. § 551.604(3) and Wis. Admin. Code § DFI-Sec 8.03, I make the following findings of facts and conclusions of law;

FINDINGS OF FACT

1. On September 1, 2021, the Administrator of the Division of Securities (“Division”) issued a Summary Order to Cease and Desist Including Restitution and Civil Penalties against Randy L Mayer (“Summary Order”).
2. On September 30, 2021, Mr. Mayer filed a petition for hearing with the Division.
3. On October 11, 2021, the Administrator issued a Notice of Hearing setting the date for the pretrial conference and providing a telephone number for the parties to appear telephonically. The Notice of Hearing stated that “Failure to appear at any hearing or prehearing conference may be deemed a default, and the Designated Hearing Officer may make a decision, enter an Order, or otherwise dispose of the case pursuant to Wis. Admin. Code § DFI-Sec. 8.03.”
4. The Division mailed and emailed a Notice of Hearing to Mr. Mayer. Mr. Mayer subsequently responded via the email address to which the Notice of Hearing was emailed.
5. Mr. Mayer did not appear at the prehearing conference of which he had notice.

CONCLUSIONS OF LAW

By not appearing at the pretrial conference of which he had notice, Randy L. Mayer is deemed in default pursuant to Wisconsin Admin. Code § DFI-Sec. 8.03.

RULING AND FINAL ORDER

In light of the above findings of fact and conclusions of law, I hereby enter a Ruling against Respondent Randy L. Mayer and a Final Order against Randy L. Mayer as follows:

RULING

Randy L. Mayer is in default with respect to the Summary Order issued on September 1, 2021.

FINAL ORDER

1. The Summary Order's Statement of Fact and Conclusions of Law are adopted as findings of fact and conclusions of law in this Final Order pursuant to Wis. Stat. § 551.604(3) and Wis. Admin. Code § DFI-Sec. 8.03.
2. The Summary Order's summary orders are final pursuant to Wis. Stat. § 551.604(3) and Wis. Admin. Code § DFI-Sec. 8.03.

IT IS SO ORDERED.

EXECUTED at Madison, Wisconsin this 9th day of November, 2021.



CHRISTOPHER N. GREEN
Designated Hearing Officer

State of Wisconsin
Department of Financial Institutions
4822 Madison Yards Way
North Tower, 4th Floor
Madison, Wisconsin 53705
(608) 266-8830
Chris.Green1@dfi.wisconsin.gov



State of Wisconsin
Department of Financial Institutions

Tony Evers, Governor

Kathy Blumenfeld, Secretary

**AFFIDAVIT OF SERVICE
AND COMPLIANCE WITH WIS. STAT. § 551.611**

STATE OF WISCONSIN)
) ss.
COUNTY OF DANE)

I, KATHERINE CLEMENTI, first being duly sworn, depose and state:

1. I am employed with the State of Wisconsin, Department of Financial Institutions, Division of Securities.
2. On the date of this Affidavit and in the course of regularly conducted activity, I have caused to be served by certified mail upon Randy L. Mayer at his last known address of 634 26 ½ 27th Street, New Auburn, Wisconsin 54757:
 - i. A copy of the Final Order; DFI Case No. S-242139 (EX); and
 - ii. A copy of this Affidavit of Service.
3. In compliance with Wis. Stat. §§ 227.48, 551.611, and 891.46; and Wis. Admin. Code. §§ DFI-Sec 8.06 and 8.07, I have also caused to be served copies of those same documents upon the Administrator for the Division of Securities.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge and belief.

Katherine Clementi
KATHERINE CLEMENTI

State of Wisconsin
Department of Financial Institutions
Division of Securities

Subscribed and sworn to before me

This 9 day of November, 2021.

Jennifer L. Booker
Notary Public, State of Wisconsin
My commission expires 5/23/2025.

